



ERC DHARMA n° 809994

**OPEN ACCESS
&
ERC ACKNOWLEDGEMENT**

The Guideline

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Open Access

Rules for a rightful publication

In order to properly deposit your files in OA, it is important to check if you respect the official rules.

The French Committee for Open Science published a leaflet which summarises the OA rules : <https://www.ouvri.lascienc-e.fr/je-publie-quels-sont-mes-droits/>

More generally, OA is mandatory for European funding. Read more about OA in H2020 projects: https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-dissemination_en.htm

- **You are the author and you want to deposit your work on HAL-SHS:**
- **If you have written the article/book with co-authors:** check that they agree to the publication in OA.
- **If you reuse external content:** check the licence. If there is a CC licence, you can use it accordingly. If there is no licence, ask the author's permission in writing, stating the context and purpose of the re-use.
- **If you are using a photo that you have taken, but which has already been used in a publication by another publisher:** ask the first publisher for permission.
- **If you sign a contract with a publisher:** check your contract and make sure you agree with all the clauses concerning OA.

For example, if you want to make a chapter of a book, the proceedings of a conference, an article in a book available in OA: you should check your contract (or the contract of the publisher) to find out exactly what the provisions are.

Open Access

Rules for a rightful publication

- **If you have written to your publisher for approval before publishing in OA and have not received a reply:** keep a record of your requests and publish in OA your post-print version.

NB: You are only allowed to publish the “post-print” version (the peer-reviewed and accepted version). The publisher’s version, on the other hand, remains the property of the publisher, unless you have obtained the publisher’s permission.

You can check the publishing conditions of the publisher, using SHERPA ROMEO.

Note that the embargo doesn’t apply if the publisher puts by himself your article in OA.

We distinguish between “Green” OA (self-archiving) and “Gold” OA (article immediately provided in OA mode by the publisher/journal).

Under the ERC DHARMA project, any publication related to the project, be it a journal article, book chapter, monograph, etc. must be submitted to the OA within 12 months of publication. Therefore, whenever possible, opt for publication in OA journals or with publishers who grant easy and cheap OA for their books.

Negotiate OA publication rights. Part of the funding is allocated to publication charges (APC: Articles Publishing Charges). You should seek the publisher’s agreement and then, if APCs are required, contact your PI.





Open Access

The HAL-SHS Collection of the ERC DHARMA Project

The ERC expects every publication to be made freely available. As this is part of our grant agreement (§ 29.2), it is mandatory.

The open archive used for the DHARMA project is HAL-SHS. A Dharma collection has been set up here: <https://hal.archives-ouvertes.fr/DHARMA/>.

Each publication related to the ERC project will be posted in the HAL-SHS collection of the DHARMA project.

You can find the steps to deposit your articles in the tab «Filing guidelines». <https://halshs.archives-ouvertes.fr/DHARMA/page/guide-de-depot>

In order to facilitate the deposit on HAL-SHS, the process is also described in this document.

Important information before starting the repository:

- **Prepare all required metadata**
- **Be sure you have disclosure rights and consent from the co-authors**
- **If the article was written with one or more co-authors, make sure they are authorised to access and modify the metadata related to the repository**



Open Access

The HAL-SHS Collection of the ERC DHARMA Project

Steps of a successful deposit on HAL-SHS:

1/ Create your personal account or login.

2/ Click on the tab "Deposit" and upload the file saved in PDF. You can also upload identifiers of the document (for example the DOI available on the website of the publisher) so that a part of the metadata automatically completed.

3/ Complete the document's metadata.

Don't forget to acknowledge the ERC in metadata, in order to link the publication to the DHARMA Collection.

Warning : display the complete list of metadata in order to correctly acknowledge the ERC DHARMA. To do this, click on "Show full list of metadata"

You will then have access to the field «European project(s)». Type in DHARMA and the system will suggest you results. Make sure you select the right project

European project(s)

Add one or multiple European projects this work is related to

4/ Complete the author(s) data

5/ Validate the submission



Open Access

The HAL-SHS Collection of the ERC DHARMA Project

Note that you can also upload only the reference to the article without the PDF file.

If you aren't allowed to release the publisher's version in Open Access, you can upload draft and post-print versions, i.e. the version accepted by the publisher, but without the final layout.

It is advisable to negotiate with the publisher to be allowed to upload the publisher's version.

Remember that the ERC DHARMA has a certain budget to fund OA publications.





The ERC DHARMA Acknowledgement

The ERC DHARMA project must be mentioned in all articles, books and related documents.

1/ Mention of the ERC DHARMA

According to § 29.4 of the Grant Agreement, any dissemination of results (in any form, including electronic) must:

- (a) include the following text and
- (b) display the EU emblem and the ERC logo, where possible.

Text to be included in any publication:

“This project has received funding from the European Research Council (ERC) under the European Union’s Horizon 2020 research and innovation programme (grant agreement no. 809994). This article/book/communication (to choose) reflects the views of the author only. The funding body is not responsible for any use that may be made of the information contained therein.”

In order to meet the requirements of ethics review, we recommend that you use the following sentence in a prominent place in all relevant publications when using epigraphic data:

“Inscriptions are far from neutral reflections of the periods in which they were produced, as they convey texts that were created to serve particular legal, political, social or religious purposes.”

Please adapt for any other types of sources used.



The ERC DHARMA Acknowledgement

Not all publishers accept the ERC Acknowledgement: it is imperative to obtain the agreement.

2/ The ERC's logo

When displayed with another logo, the EU emblem and the ERC logo must be appropriately highlighted.

3/ Relevance of publications

Note that even publications without the ERC acknowledgement can be relevant to the ERC DHARMA project. Such publications can be deposited in the DHARMA HAL-SHS collection. Please indicate to the EPM their rank of relevance to the project, if requested.

> RANK 1: publication directly relevant to the research questions of the project, with ERC recognition and distributed in Open Access.

> RANK 2: publication directly relevant to the research questions of the project, but without ERC recognition and/or not distributed in Open Access.

> RANK 3: publication indirectly relevant to the research questions of the project, e.g. an article on a broader topic.